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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/729,034
Filing Date: 12/04/2003
Applicant: KUAN-LUN CHANG
Group Art Unit: 2812
Examiner: Not Yet Assigned
Title: HIGH DENSITY MIM CAPACITOR STRUCTURE AND
FABRICATION PROCESS
Attorney Docket: 67,200-1157

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

LISTS OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

COPIES

I. _____ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing and the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

2. **THIS IDS IS BEING FILED UNDER**

A. 37 C.F.R. § 1.97(b): (check only one box)

1. ___ within three months of the filing date of a national application other than a continued prosecution application under § 153(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. ___ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 CFR § 1.97(b)(2)). No fee or certification is required.

3. ☒ before the mailing of a first Office Action on the merits (37 CFR § 1.97(B)(3)). No fee or certification is required. In the event that a first Office on the merits has been issued, please consider this IDS under 37 CFR § 1.97(c) and see the certification under 37 CFR § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 CFR § 1.17(p).

4. ___ before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114. No fee or certification is required.

3. PAYMENT OF FEES (check only one box)

A. ___ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ___ Please charge Credit Account No. 4756 8461 9568 0263 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider the IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0484.

Please charge any additional fees or credit any overpayment pursuant to 37 CFR § 1.16 or § 1.17 to Deposit Account No. 50-0484.

Dated: 5/14/04

Respectfully submitted,

By: 

Randy W. Tung
Reg. No. 31,311

TUNG & ASSOCIATES
838 West Long Lake Road, Suite 120
Bloomfield Hills, MI 48302
(248) 540-4040
(248) 540-4035 (facsimile)

FORM RTO-1449 (MODIFIED)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)



ATTY DOCKET NO.

67,200-1157

SERIAL NO.

10/729,034

Applicant: KUAN-LUN CHANG

FILING DATE

12/04/2003

GROUP

2812

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLAT ION YES/NO
	AK	Taiwanese Abstract 527713 - Claims		Taiwan			Yes
	AL						
	AM						
	AN						

OTHER ART (including Author, Title, Date, pertinent pages, etc.)

	AO	
	AP	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.